

# FORMA A

## Securities and Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015

### FORMAT OF INITIAL DISCLOSURE OF SECURITIES [Regulation 7 (1) (a) read with Regulation 6(2)]

Name of the company: \_\_\_\_\_

ISIN of the company: \_\_\_\_\_

#### Details of Securities held by Promoter, Key Managerial Personnel (KMP), Director and other such persons as mentioned in Regulation 6(2)

Name , PAN No., CIN/ DIN & Address with Contact Nos.	Category of person(Promoters /KMP/Directors Immediate Relatives/others, etc)	Securities held as on date of regulation coming into force		% of Shareholding	Open Interest of the Future Contracts held as on the date of regulation coming into force		Open interest of the option contracts held as on the date of regulation coming into force	
		Type of security (For e.g.: shares, warrants, Convertible Debentures, etc.)	No.		Number of Units (contract Lot size)	Notional Value in Rupees Terms	Number of Units (contract Lot size)	Notional Value In Rupees Terms
1	2	3	4	5	6		7	

Signature:

Designation:

Date:

Place:

**FORM B**

**Securities and Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015**

**[Regulation 7 (1) (b) read with Regulation 6(2)]**

Name of the company: \_\_\_\_\_

ISIN of the company: \_\_\_\_\_

**Details of Securities held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).**

Name, PAN No., CIN/ DIN & Address with contact nos.	Category of person(Prompters/KMP/Directors Immediate Relatives/others Etc)	Date of Appointment of Directors KMP or Date of becoming Promoter	Securities held at the time of becoming Promoter/ Appointment Of Director/ KMP		% of Share Holding	Open Interest of the Future Contracts held at the time of becoming Promoter/ Appointment of Director/KMP		Open interest of the option contracts held at the time of becoming Promoter/ Appointment Director/KMP	
			Type of security (For eg: shares, warrants, Convertible Debentures, etc.)	No		Number of Units (contracts Lot size)	Notional Value in Rs. Terms	Number of Units (contracts Lot size)	Notional Value in Rs. Terms
1	2		3	4	5	6		7	

Signature:

Designation:

Date:

Place:

**FORM C**

**Securities and Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015 [Regulation 7 (2) read with Regulation, 6(2)]**

Name of the company: \_\_\_\_\_

ISIN of the company: \_\_\_\_\_

**Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).**

Name , PAN No., CIN/ DIN & Address with contact nos.	Category of person (Promoters/ KMP/ Directors/Immediates/ Relatives/others, etc)	Securities held prior to acquisition/Disposal		Securities Acquired/Disposed		% of Shareholding		Date of Allotment advice/Acquisition of shares/sale of shares specify		Date of intimation to company	Mode of acquisition (market purchase/public rights/preferential offer/off market/inter-se transfer etc)	Trading in Derivatives (specify type of contract, Futures or Options)				Exchange on which the trade was executed
		Type of security (For eg:- Shares, Warrants, convertible Debentures etc.	No.	Type of security (For eg:- Shares, Warrants, convertible Debentures etc.	No.	Pre Transaction	Post Transaction	From	To			Buy		Sell		
												Value	Number of units (Contracts in size)	Value	Number of units (Contracts in size)	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17

Signature:

Designation:

Date:

Place:

**FORM D**

**Securities and Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015**

**[Regulation 7 (3) – Transaction by other connected persons as identified by the company]**

Name of the company: \_\_\_\_\_

ISIN of the company: \_\_\_\_\_

**Transactions by Other connected persons as identified by the company**

Name, PAN No., CIN/DIN & Address of connected persons, as identified by the company with contact Nos.	Connection with the company.	Securities held prior to acquisition/Disposal		Securities Acquired/Disposed		% of Shareholding		Date of Allotment advice/ Acquisition of shares/sale of shares specify		Date of intimation to company	Mode of acquisition (market purchase/public rights/preferential offer/off market /inter-se transfer etc)	Trading in Derivatives (specify type of contract, Futures or Options)				Exchange on which the trade was executed
		Type of security (For eg:- Shares, Warrants, convertible Debentures etc.	No.	Type of security (For eg:- Shares, Warrants, convertible Debentures etc.	No.	Pre Transaction	Post Transaction	From	To			Buy		Sell		
												Value	Number of units (Contract size)	Value	Number of units (Contract size)	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17

Signature:

Designation:

Date:

Place:

**FORM E**

**ANNUAL DISCLOSURE**

Name of the company: \_\_\_\_\_

ISIN of the company: \_\_\_\_\_

**Details of Securities held by Promoter, Key Managerial Personnel (KMP), Director and other connected person as mentioned in Regulation 6(2)**

Name, PAN No., CIN/DIN & Addresses with contact nos.	Category of person(Prompters /KMP/ Directors/Immediate Relatives/others, etc)	Securities as on 31st March_____.		% of shareholding as on 31st March____.	Open Interest of the Future Contracts held as on as on 31st March_____.		Open interest of the option contracts held as on as on 31st March_____.	
		Type of security (For eg: shares, warrants, Convertible Debentures , etc.)	No.		Number of Units(contracts lot size)	Notional Value in Rupees Terms	Number of Units(contracts lot size)	Notional Value in Rupees Terms
1	2	3	4	5	6	7	8	9

Signature:

Designation:

Date:

Place